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Attorney Docket P0927C2

PATENT

12/07/01  
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12/07/01  
10/010245

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, D.C. 20231

**NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

Paul J. Carter, San Francisco, California  
Leonard G. Presta, San Francisco, California  
John B. Ridgway, San Francisco, California

Title: **A METHOD FOR MAKING HETEROMULTIMERIC POLYPEPTIDES****1. Type of Application**

- ☐ This application is for an original, non-provisional application.
- ☐ This is a non-provisional application claiming priority to provisional application no. \_\_, filed \_\_, the entire disclosure of which is hereby incorporated by reference.
- ☒ This is a ☐ continuation-in-part ☒ continuation ☐ divisional application claiming priority to application Serial Number 08/974,183, filed November 19, 1997, which is a continuation of application Serial Number 08/399,106 filed March 1, 1995 (now U.S. Patent 5,731,168 issued March 24, 1998) the entire disclosure of which is hereby incorporated by reference.

**2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Non-provisional)**

- 64 pages of specification  
5 pages of claims  
1 page(s) of abstract  
11 sheet(s) of drawings  
☒ formal ☐ informal  
(Figure 9 is a color drawing and is provide in triplicate.)

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**3. Declaration or Oath**

*(for new and CIP applications; also for Cont./Div. where inventor(s) are being added)*

☐ An executed declaration of the inventor(s) ☐ is enclosed ☐ will follow.

*(for Cont./Div. where inventorship is the same or inventor(s) being deleted)*

☒ A copy of the executed declaration/oath filed in the prior application is enclosed (37 CFR 1.63(d)).

*(for Cont./Div. where inventor(s) being deleted)*

☐ A signed statement is attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).

**4. Assignment**

*(for new and CIP applications)*

☐ An Assignment of the invention to GENENTECH, INC. ☐ is enclosed with attached Recordation Form Cover Sheet ☐ will follow.

*(for cont./div.)*

☒ The prior application is assigned of record to Genentech, Inc.

**5. Amendments (for continuation and divisional applications)**

☐ Cancel in this application original claims \_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

**6. Payment of Fees**

☒ Applicants request deferral of payment of the filing fee until submission of the missing parts of application. **DO NOT CHARGE THE FILING FEE AT THIS TIME.**

**7. Additional Papers Enclosed**

☒ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 without citations.

☐ Submission of "Sequence Listing", computer readable copy, certificate re: sequence listing, and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.

☐ A new Power of Attorney or authorization of agent.

☐ Other:

**8. Maintenance of Copendency of Prior Application** (for continuation and divisional applications)

[This item **must** be completed and the necessary papers filed in the prior application if the period set in the prior application has run]

    X     A petition, fee and/or response has been filed to extend the term in the pending prior application until December 8, 2001. (A copy is attached.)

\_\_\_\_\_ A copy of the petition for extension of time in the **prior** application is attached.

**9. Correspondence Address:**

X Address all future communications to:

Attn: Wendy M. Lee

Respectfully submitted,  
GENENTECH, INC.

Date: December 7, 2001

By: Janet E. Hasak  
 Janet E. Hasak - Reg. No. 28,616  
 for Wendy M. Lee - Reg. No. 40,378  
 Telephone No. (650) 225-1994



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